



*INTERACTIVE BROKERS LLC, Two Pickwick Plaza, Greenwich, CT 06830*

## **Account Statement for October 2005**

<b>Account Information</b>	
Name	JOINT Christopher J Martin and Susannah B Martin
Account ID	U227142
Advisor Name	Vikram Budhraja
Account Type	Advisor Client
Customer Type	Joint
Account Capabilities	Margin
Trading Permissions	SSF, Stocks, Options, Bonds, Forex, Futures, Futures Options
Base Currency	USD

## **TOTAL EQUITY SUMMARY**

<b>Total Equity Summary (Base Currency)</b>	
Cash	2,902.70
Stock	7,190.80
Option	180.85
Bonds	0.00
Accruals	0.00
FX Forward	0.00
Total	10,274.35

**PROFIT/LOSS SUMMARY**

<b>Total Securities P/L Summary (Base Currency)</b>			
	<b>Securities</b>	<b>Commodities</b>	<b>Total</b>
Realized Short Term Profit	289.00	1,211.44	1,500.44
Realized Short Term Loss	-1,789.23	-754.85	-2,544.08
Realized Long Term Profit	0.00	1,817.17	1,817.17
Realized Long Term Loss	0.00	-1,132.28	-1,132.28
<b>Total</b>	<b>-1,500.23</b>	<b>1,141.48</b>	<b>-358.75</b>

**CASH and ACCRUALS**

<b>Total Cash and Accruals Summary (Base Currency)</b>			
	<b>Securities</b>	<b>Commodities</b>	<b>Total</b>
Starting Cash	2,896.45	0.00	2,896.45
Commissions	-50.65	-16.08	-66.73
Deposits/Withdrawals	-768.10	768.10	0.00
Dividends	72.11	0.00	72.11
Fees	0.00	0.00	0.00
Interest	-0.13	0.00	-0.13
Net Trades	-1,314.00	1,315.00	1.00
Payment In Lieu Of Dividends	0.00	0.00	0.00
Transaction Tax	0.00	0.00	0.00
Withholding Tax	0.00	0.00	0.00
Ending Cash	835.68	2,067.02	2,902.70
Ending Settled Cash	825.68	1,992.02	2,817.70
Starting Accrual Balance	0.00	0.00	0.00
Change in Accruals	-0.13	0.00	-0.13
Accrual Posting to Cash	0.13	-0.00	0.13
Ending Accrual Balance	0.00	0.00	0.00

  

<b>USD</b>			
	<b>Securities</b>	<b>Commodities</b>	<b>Total</b>
Starting Cash	2,896.45	0.00	2,896.45
Commissions	-50.65	-16.08	-66.73
Deposits/Withdrawals	-768.10	768.10	0.00
Dividends	72.11	0.00	72.11
Fees	0.00	0.00	0.00
Interest	-0.13	0.00	-0.13
Net Trades	-1,314.00	1,315.00	1.00
Payment In Lieu Of Dividends	0.00	0.00	0.00
Transaction Tax	0.00	0.00	0.00
Withholding Tax	0.00	0.00	0.00
Ending Cash	835.68	2,067.02	2,902.70
Ending Settled Cash	825.68	1,992.02	2,817.70

Starting Accrual Balance	0.00	0.00	0.00
Change in Accruals	-0.13	0.00	-0.13
Accrual Posting to Cash	0.13	-0.00	0.13
Ending Accrual Balance	0.00	0.00	0.00

## POSITIONS

StocksUSD					
Symbol	Security Id	Description	Qty	Closing Price	Value
ACG	000912105	ACM GOVT INCOME FD INC	100	8.2700	827.00
ARO	007865108	AEROPOSTALE INC	-100	19.5400	-1,954.00
BHY	09248N102	BLACKROCK HIGH YIELD TST SBI	100	7.3600	736.00
BKT	09247F100	BLACKROCK INCOME TR INC	200	5.9040	1,180.80
HYI	429904105	HIGH YIELD INCOME FD INC	100	4.9100	491.00
IMH	45254P102	IMPAC MORTGAGE HOLDINGS INC.	300	10.0400	3,012.00
STX	G7945J104	SEAGATE TECHNOLOGY	200	14.4900	2,898.00
<b>Total</b>					<b>7,190.80</b>

OptionsUSD						
Symbol	Expiration Date	Description	Qty	Closing Price	Multiplier	Value
AROKD	2005-11-19	ARO NOV05 20 C	1	0.599999	100	60.00
AROWW	2005-11-19	ARO NOV05 17.5 P	1	0.207976	100	20.80
HRBKE	2005-11-19	HRB NOV05 25 C	1	0.625474	100	62.55
MRKKF	2005-11-19	MRK NOV05 30 C	1	0.1750	100	17.50
QPTKE	2005-11-19	PETM NOV05 25 C	2	0.3250	100	65.00
STXKC	2005-11-19	STX NOV05 15 C	1	0.2500	100	25.00
UHOKC	2005-11-19	HOTT NOV05 15 C	1	0.4500	100	45.00
<b>Total</b>						<b>295.85</b>

Options On FuturesUSD						
Symbol	Expiration	Description	Qty	Closing	Multiplier	Value

P OYM NOV 05 9400	2005-11-18	YM NOV05 9400 P	-1	9.0000	5	-45.00			
P OYM NOV 05 9600	2005-11-18	YM NOV05 9600 P	-1	14.0000	5	-70.00			
<b>Total</b>						-			

**TRANSACTIONS**

StocksUSD										
Symbol	Date Time	Exchange	Qty	Price	Amount	Commiss	Tax	Cost	P/L	Code
BKT	2005-10-04, 09:30:41	NYSE	100	6.2300	-623.00	-1.00	0	624.00	0.00	
ACG	2005-10-05, 09:45:46	NYSE	100	8.2800	-828.00	-1.00	0	829.00	0.00	
IMH	2005-10-06, 15:12:47	NYSE	100	10.2800	-	-1.00	0	1,029.00	0.00	
SBUX	2005-10-06, 15:19:21	TMBR	-100	51.7400	5,174.00	-1.00	0	-	0.00	
SBUX	2005-10-06, 15:51:52	BRUT	100	51.7500	-	-1.00	0	5,173.00	-3.00	L
Open:	2005-10-06, 15:19:21	P/L:-3.00	-100							ST
IMH	2005-10-12, 09:34:13	NYSE	100	9.1600	-916.00	-1.00	0	917.00	0.00	
IMH	2005-10-17, 09:31:50	NYSE	-100	10.7500	1,075.00	-1.00	0	-	284.00	
Open:	2005-08-11, 10:04:50	P/L:-284.00	100							ST
IMH	2005-10-19, 09:32:05	NYSE	100	10.0600	-	-1.00	0	1,007.00	0.00	
ACG	2005-10-21, 15:50:07	NYSE	-100	8.1600	816.00	-1.00	0	-829.00	-14.00	L
Open:	2005-10-05, 09:45:46	P/L:-14.00	100							ST
BKT	2005-10-21, 15:50:08	NYSE	-200	5.8900	1,178.00	-2.00	0	-	-85.00	L
Open:	2005-08-25, 14:30:54	P/L:-49.00	100							ST
Open:	2005-10-04, 09:30:41	P/L:-36.00	100							ST
HYI	2005-10-21, 15:50:10	NYSE	-200	4.9300	986.00	-1.97	0	-	-99.97	L
Open:	2005-08-	P/L:-	100							ST



	25, 13:39:34	60.99									
Open:	2005-09-26, 14:33:04	P/L:- 38.98	100								ST
DHY	2005-10-21, 15:50:11	NYSE	- 300	4.6300	1,389.00	-2.78	0	1,512.47	- 126.26	-	L
Open:	2005-08-03, 14:47:32	P/L:- 21.59	47								ST
Open:	2005-08-04, 14:42:07	P/L:- 68.74	153								ST
Open:	2005-08-30, 15:21:03	P/L:- 35.93	100								ST
PHK	2005-10-21, 15:50:12	NYSE	- 100	14.5200	1,452.00	-1.00	0	1,495.00	- -44.00	-	L
Open:	2005-09-22, 15:19:33	P/L:- 44.00	100								ST
AMAT	2005-10-21, 16:20:00	--	100	17.0000	1,700.00	0.00	0	1,686.00	0.00		Ex
AMAT	2005-10-24, 15:59:05	ISLAND	- 100	17.0400	1,704.00	-1.00	0	1,686.00	- 17.00		
Open:	2005-10-21, 16:20:00	P/L:17.00	100								ST
BHY	2005-10-25, 14:44:46	NYSE	100	7.2300	-723.00	-1.00	0	724.00	0.00		
BKT	2005-10-25, 15:03:37	NYSE	100	5.8900	-589.00	-1.00	0	590.00	0.00		P
BKT	2005-10-25, 15:11:18	NYSE	100	5.8900	-589.00	-1.00	0	590.00	0.00		P
ACG	2005-10-26, 09:36:27	NYSE	100	8.1600	-816.00	-1.00	0	817.00	0.00		
STX	2005-10-27, 09:32:20	NYSE	100	14.0800	- 1,408.00	-1.00	0	1,409.00	0.00		
ARO	2005-10-31, 15:48:13	NYSE	- 100	19.6400	1,964.00	-1.00	0	1,963.00	- 0.00		
HYI	2005-10-31, 15:52:05	NYSE	100	4.9100	-491.00	-1.00	0	492.00	0.00		
<b>Total</b>				<b>-154.00</b>	<b>-24.75</b>	<b>0.00</b>	<b>-474.47</b>	<b>639.23</b>	<b>-</b>		

### OptionsUSD

Symbol	Date Time	Exchange	Qty	Price	Amount	Commiss	Tax	Cost	P/L	Code
SNDK OCT05 47.5 C (100)	2005-10-03, 09:30:06	PHLX	-1	3.3000	330.00	-1.00	0	-	0.00	O
IMH OCT05 10 P (100)	2005-10-06, 15:16:33	ISE	4	0.2500	-100.00	-4.00	0	104.00	0.00	O
AMTD OCT05 20 P (100)	2005-10-12, 09:30:17	PHLX	-1	0.2500	25.00	-1.00	0	-36.00	-12.00	C
Open:	2005-09-26, 13:18:32	P/L:-12.00	1							ST
SNDK OCT05 47.5 C (100)	2005-10-12, 09:42:44	ISE	1	4.2000	-420.00	-1.00	0	99.00	-	C
Open:	2005-09-29, 11:49:43	P/L:-322.00	-1							ST
AMTD OCT05 20 P (100)	2005-10-12, 10:16:51	BOX	-1	0.3000	30.00	-1.00	0	-16.00	13.00	C
Open:	2005-09-30, 09:42:08	P/L:13.00	1							ST
SNDK OCT05 47.5 C (100)	2005-10-12, 11:50:17	ISE	1	3.2000	-320.00	-1.00	0	184.00	-	C
Open:	2005-09-30, 09:30:07	P/L:-137.00	-1							ST
SBUX OCT05 50 C (100)	2005-10-17, 09:33:02	ISE	-1	2.8500	285.00	-1.00	0	-66.00	218.00	C
Open:	2005-09-26, 12:55:55	P/L:218.00	1							ST
A OCT05 30 P (100)	2005-10-21, 00:00:00	--	1	0.0000	0.00	0.00	0	0.00	0.00	C Ex Ep
VPHM OCT05 17.5 P (100)	2005-10-21, 00:00:00	--	1	0.0000	0.00	0.00	0	0.00	0.00	C Ex Ep
IMH OCT05 10 P (100)	2005-10-21, 00:00:00	--	-4	0.0000	0.00	0.00	0	-	-	C Ex Ep

Open:	2005-10-06, 15:16:33	P/L:-104.00	4								ST
AAPL OCT05 55 P (100)	2005-10-21, 00:00:00	--	1	0.0000	0.00	0.00	0	0.00	0.00	C Ex Ep	
STX OCT05 15 C (100)	2005-10-21, 00:00:00	--	1	0.0000	0.00	0.00	0	0.00	0.00	C Ex Ep	
AMTD OCT05 20 P (100)	2005-10-21, 00:00:00	--	-1	0.0000	0.00	0.00	0	-16.00	-16.00	C Ex Ep	
Open:	2005-09-30, 09:42:08	P/L:-16.00	1								ST
YHOO OCT05 35 P (100)	2005-10-21, 00:00:00	--	2	0.0000	0.00	0.00	0	0.00	0.00	C Ex Ep	
YHOO OCT05 35 P (100)	2005-10-21, 09:30:02	ISE	-1	0.0500	5.00	-0.50	0	0.00	4.50		
Open:	2005-10-21, 00:00:00	P/L:4.50	1								ST
AAPL OCT05 55 P (100)	2005-10-21, 09:30:09	ISE	-1	0.1500	15.00	-1.00	0	0.00	14.00		
Open:	2005-10-21, 00:00:00	P/L:14.00	1								ST
VPHM OCT05 17.5 P (100)	2005-10-21, 09:30:20	CBOE	-1	0.0500	5.00	-0.50	0	0.00	4.50		
Open:	2005-10-21, 00:00:00	P/L:4.50	1								ST
STX OCT05 15 C (100)	2005-10-21, 09:31:52	ISE	-1	0.0500	5.00	-0.50	0	0.00	4.50		
Open:	2005-10-21, 00:00:00	P/L:4.50	1								ST
YHOO OCT05 35 P (100)	2005-10-21, 09:47:17	PHLX	-1	0.1000	10.00	-1.00	0	0.00	9.00		
Open:	2005-10-21, 00:00:00	P/L:9.00	1								ST
AMAT	2005-10-	ISE	-1	0.1500	15.00	-1.00	0	-14.00	0.00		



### Options On FuturesUSD

Symbol	Date Time	Exchange	Qty	Price	Amount	Commiss	Tax	Cost	P/L	Code
ES OCT05 1165 P	2005-10-20, 18:20:08	GLOBEX	-2	0.9000	90.00	-3.30	0	--	--	O
ES OCT05 1165 P	2005-10-21, 17:14:00	--	2	0.0000	0.00	0.00	0	--	--	C Ex Ep
YM NOV05 9600 P	2005-10-31, 14:03:13	ECBOT	-1	8.0000	40.00	-2.13	0	--	--	O
YM NOV05 9400 P	2005-10-31, 16:10:29	ECBOT	-1	7.0000	35.00	-2.13	0	--	--	O
<b>Total</b>					<b>165.00</b>	<b>-7.56</b>	<b>0.00</b>			

### FuturesUSD

Description	Date Time	Exchange	Qty	T.Price	S.Price	Commiss	P/L	Code
YM DEC05	2005-10-05, 21:07:25	ECBOT	1	10,352.0000	10,324.0000	-2.13	-142.13	O
YM DEC05	2005-10-18, 07:07:34	ECBOT	-1	10,391.0000	10,306.0000	-2.13	422.87	C
YM DEC05	2005-10-19, 07:59:15	ECBOT	1	10,254.0000	10,447.0000	-2.13	962.87	O
YM DEC05	2005-10-19, 19:40:03	ECBOT	-1	10,445.0000	10,288.0000	-2.13	782.87	C
<b>Total</b>						<b>-8.52</b>	<b>2,026.48</b>	

### Gain/Loss Prior Open Future PositionsUSD

Position Date	Closing Date	Description	Qty	Prev S. Price	S.Price	P/L
2005-10-07	2005-10-07	YM DEC05	1	10,324.0000	10,323.0000	-5.00
2005-10-10	2005-10-10	YM DEC05	1	10,323.0000	10,258.0000	325.00
2005-10-11	2005-10-11	YM DEC05	1	10,258.0000	10,276.0000	90.00
2005-10-12	2005-10-12	YM DEC05	1	10,276.0000	10,218.0000	290.00
2005-10-13	2005-10-13	YM DEC05	1	10,218.0000	10,216.0000	-10.00
2005-10-14	2005-10-14	YM DEC05	1	10,216.0000	10,302.0000	430.00
2005-10-17	2005-10-17	YM DEC05	1	10,302.0000	10,370.0000	340.00
2005-10-18	2005-10-18	YM DEC05	1	10,370.0000	10,306.0000	320.00
2005-10-20	2005-10-20	YM DEC05	1	10,447.0000	10,288.0000	795.00

<b>Total</b>	<b>885.00</b>
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<b>DividendsUSD</b>		
<b>Date Time</b>	<b>Description</b>	<b>Amount</b>
2005-10-14	IMH(45254P102) DIVIDEND .45 USD PER SHARE	45.00
2005-10-21	DHY(22544F103) DIVIDEND .0425 USD PER SHARE	12.75
2005-10-31	HYI(429904105) DIVIDEND .035 USD PER SHARE	7.00
2005-10-31	BKT(09247F100) DIVIDEND .036787 USD PER SHARE	7.36
<b>Total</b>		<b>72.11</b>

<b>Interest PaidUSD</b>		
<b>Date</b>	<b>Description</b>	<b>Amount</b>
2005-10-06	USD NET SHRT STK INT FOR SEP-2005	-0.13
<b>Total</b>		<b>-0.13</b>

<b>Cash ActivityUSD</b>		
<b>Date</b>	<b>Description</b>	<b>Amount</b>
2005-10-06	Securities Account Net Cash Moved	-2,187.13
2005-10-06	Commodities Account Net Cash Moved	2,187.13
2005-10-07	Securities Account Net Cash Moved	27.50
2005-10-07	Commodities Account Net Cash Moved	-27.50
2005-10-10	Securities Account Net Cash Moved	-362.50
2005-10-10	Commodities Account Net Cash Moved	362.50
2005-10-11	Securities Account Net Cash Moved	135.00
2005-10-11	Commodities Account Net Cash Moved	-135.00
2005-10-12	Securities Account Net Cash Moved	-310.00
2005-10-12	Commodities Account Net Cash Moved	310.00
2005-10-13	Securities Account Net Cash Moved	-5.00
2005-10-13	Commodities Account Net Cash Moved	5.00
2005-10-14	Securities Account Net Cash Moved	385.00
2005-10-14	Commodities Account Net Cash Moved	-385.00
2005-10-17	Securities Account Net Cash Moved	330.00
2005-10-17	Commodities Account Net Cash Moved	-330.00
2005-10-18	Securities Account Net Cash Moved	2,177.87
2005-10-18	Commodities Account Net Cash Moved	-2,177.87

2005-10-18	Commodities Account Net Cash Moved	105.00		
2005-10-19	Securities Account Net Cash Moved	-1,082.13		
2005-10-19	Commodities Account Net Cash Moved	1,082.13		
2005-10-20	Securities Account Net Cash Moved	2,137.87		
2005-10-20	Commodities Account Net Cash Moved	-2,137.87		
2005-10-21	Securities Account Net Cash Moved	-5,682.16		
2005-10-21	Commodities Account Net Cash Moved	5,682.16		
2005-10-24	Securities Account Net Cash Moved	5,768.86		
2005-10-24	Commodities Account Net Cash Moved	-5,768.86		
2005-10-31	Securities Account Net Cash Moved	-1,996.28		
2005-10-31	Commodities Account Net Cash Moved	1,996.28		

For futures realized and unrealized profit and loss on a first in, first out (FIFO) basis, [click here](#).

#### Codes

Code	Meaning
P	Partial Execution
O	Opening Trade
C	Closing Trade
CA	Cancelled Trade
CC	Cancelled Corporate Action
CO	Corrected Trade
L	Ordered by IB (Margin Violation)
M	Entered manually by IB
I	Internal Transfer
E	External Transfer
Ex	Exercise and Assignment
Ep	Resulted from an Expired Position
N	Late Trade. Profit or Loss will be recognized on next day's statement
ST	Short Term P/L
LT	Long Term P/L
PLC	P/L affected by Corporate Action
PLT	P/L affected by Transfer

## **Notes**

(1) Stock transactions settle on the trade date plus three days and securities options settle on the trade date plus one day. Ending settled cash reflects the cash which has actually settled.

(2) Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.

(3) Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases. The settlement date for stock transactions is trade date + 3 business days, except for transactions on XETRA, which settle on trade date + 2 business days. Option transactions settle on trade date + 1 business day.

(4) In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.

(5) For bond trades, information regarding bond yield, calculated from the price of the transaction excluding commissions, was provided in writing at the time of the transaction in the Trader Workstation trade confirmation window. For further information, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com)

(6) For clients whose accounts are being managed by an advisor, trades shown may be part of a larger trade that was allocated across multiple client accounts, based on an allocation algorithm specified by the advisor prior to execution of the trade. Times shown are times that trades were allocated to your account. Execution time on the relevant market center is available upon your request.

(7) If you specified that your advisor is to receive a per-trade advisory fee, please note that the figures in the "Commiss" column in the transaction listings are gross amounts that include both IB's brokerage commission for the trade as well as the advisor's per-trade fee. IB receives its standard published brokerage commissions on your trades ( see [www.interactivebrokers.com](http://www.interactivebrokers.com) ). To determine the advisor's per-trade fee for any trade, subtract IB's standard commission from the amount shown.

## **Legal Notes**

(1) Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not self-clearing, IB may use one of the following clearing agents: Interactive Brokers (U.K.) Limited, Timber Hill Canada Company, Timber Hill Europe AG, Timber Hill LLC, Timber Hill Securities Hong Kong Limited, BNP Paribas Commodity Futures, Inc., Euroclear Bank S.A./N.V., Fimat International Banque S.A., Fortis Clearing Singapore Pte. Ltd., Fortis Clearing Sydney Pty Limited, Goodmorning Shinhan Securities Co., Ltd., Société Générale Zurich branch.

(2) IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

(3) Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

(4) All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.

(5) Except for liquidity rebates that may be paid by ECNs for certain orders routed to those ECNs, IB does not generally accept payment for order flow for stock orders. ECN liquidity rebates are credited against the fees charged by the ECNs to execute other orders. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or



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(6) For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.

(7) Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.

(8) A financial statement of this organization is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.

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